



Comptroller of the Currency
Administrator of National Banks

US Department of the Treasury

2012 OCC Director Workshops

Mastering the Basics

A Director's Challenge

2012 Workshop Locations

Anaheim, CA

January 23-25

Fort Lauderdale, FL

February 27-29

Wilmington, DE

March 19-21

Springfield, IL

April 2-4

Atlanta, GA

May 14-16

Cleveland, OH

June 25-27

Omaha, NE

July 23-25

Boston, MA

September 10-12

San Antonio, TX

October 22-24

**REGISTER
TODAY!**





OCC Director Workshops

Mastering the Basics A Director's Challenge

The success and even some failures of financial institutions can often be attributed to the effectiveness or ineffectiveness of their directors. A broad knowledge of the financial services industry and the confidence to ask questions of management, auditors, and examiners will best enable directors to fulfill their duties and responsibilities successfully.

This workshop combines lectures, discussions, and exercises designed specifically for directors of national community banks and federal savings associations.

Discussion topics include:

- OCC structure and communication channels for directors
- Roles of the board and management
- Board committees and best practices
- Significant board activities, including compensation and succession planning
- CAMELS, specialty ratings, and composite ratings
- Report of Examination—your blueprint for action
- Managing risk with board reports

Communicate With the Regulators

You will interact with instructors who are experienced examiners from the OCC. They are seasoned professionals who regularly assess risk management systems and meet with senior managers and directors to discuss their findings and evaluations. They will share their experiences to help you improve your knowledge and skills. Enrollment is limited to 35 directors per workshop to allow open and targeted dialogue. Pre-course reading materials will be provided to enhance your workshop experience.

Who Should Attend

This workshop is geared primarily to outside directors of national community banks and federal savings associations that have assets less than \$5 billion. Management directors could also benefit from attendance.

“Safe and sound banking requires good directors—men and women with a sense of responsibility, curiosity, and competence. It also takes skills and knowledge specific to the business of banking. This workshop provides a solid foundation for success in this critical role.”

Jennifer Kelly,

Senior Deputy Comptroller for
Midsize and Community Bank
Supervision

Meet the Instructors



Dave Baker

Assistant Deputy Comptroller,
Western District



Michael Buting

Bank Examiner, FTR
Western District



Ralph DeLeon

National Bank Examiner,
Banking Relations Division



Mark Diller

National Bank Examiner,
Central District



Haydee Gilliam

National Bank Examiner,
Southern District



Lori McNulty

National Bank Examiner,
Central District

Agenda

Attire is business casual.

Workshop

Monday

- 11:30 a.m. Registration Opens
 - 1:00 p.m. Introduction and Program Overview
 - Understanding the Regulatory Structure
 - Differentiating Board and Management Roles: Understanding Board Responsibilities
 - Industry Hot Topics
 - 5:15 p.m. Adjourn
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Tuesday

- 7:00 a.m. Registration Opens
 - 8:00 a.m. Activities of Effective Boards Operating in a Regulated Environment
 - 12:00 p.m. Lunch
 - 1:00 p.m. Overseeing the Audit Function
 - Deciphering CAMELS and the Report of Examination
 - 5:00 p.m. Adjourn
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Wednesday

- 7:00 a.m. Registration Opens
- 8:00 a.m. Working With Examiners
 - Using the Uniform Bank Performance Report
 - Managing Board Information
- 12:00 p.m. Adjourn

“This workshop should be required for all new directors. I have been a director for 11 years, and even with all these years of experience, I learned a lot of valuable information.”

Reaction From Past Participant



Tom Munz

*Assistant Deputy Comptroller,
Central District*



Polly Robinson

*National Bank Examiner,
Southern District*



Randy Ryskamp

*District Counsel,
Southern District*



Scott Schainost

*Assistant Deputy Comptroller,
Northeastern District*



Curt Schuman

*Assistant Deputy Comptroller,
Southern District*

Part 2

Attendee Information

Name: Mr./Ms./Mrs./Dr. _____
(Please circle one) First Name Last Name

Name on Badge: _____
First Name Last Name

Title: _____ Director: Inside Outside Other

Home Address: _____
Street
City State Zip Code

Daytime Telephone: _____ Fax: _____

Attendee E-mail: _____

Assistant's E-mail: _____

Emergency Contact

Emergency Contact Name: _____
(friend or family)

Emergency Contact Phone Number (Home or Cell): _____
(do not use the bank phone number)

Special Needs/Comments

If you have special needs that may affect your participation in this event, please check here.
OCC will contact you to discuss your needs.

If you have any comments or information concerning your registration that you wish to add, please do so below.

For workshop information, please contact (240) 485-1700. Registration Center Hours: 8:30 a.m. – 5:30 p.m. ET.



Dates and Locations

Anaheim, CA—January 23-25, 2012

Doubletree Anaheim—Orange County
Hotel reservations: (714) 634-4500
Room rate: \$123.00
www.anaheimorangecounty.doubletree.com

Fort Lauderdale, FL—February 27-29, 2012

The Westin Fort Lauderdale
Hotel reservations: (954) 772-1331
Room rate: \$169.00
www.westin.com/fortlauderdale

Wilmington, DE—March 19-21, 2012

Hotel du Pont
Hotel reservations: (800) 441-9019
Room rate: \$169.00
www.hoteldupont.com

Springfield, IL—April 2-4, 2012

Hilton Springfield
Hotel reservations: (217) 789-1530
Room rate: \$81.00
www.hilton.com

Atlanta, GA—May 14-16, 2012

The Westin Peachtree Plaza
Hotel reservations: (404) 659-1400
Room rate: \$169.00
www.starwoodhotels.com/westin

Cleveland, OH—June 25-27, 2012

Wyndham Cleveland at PlayhouseSquare
Hotel reservations: (216) 615-7500
Room rate: \$98.00
www.wyndhamcleveland.com

Omaha, NE—July 23-25, 2012

Embassy Suites Omaha
Hotel reservations: (402) 346-9000
Room rate: \$130.00
www.embassysuites.com

Boston, MA—September 10-12, 2012

Doubletree Suites Boston
Hotel reservations: (617) 783-0090
Room rate: \$221.00
www.doubletree.com

San Antonio, TX—October 22-24, 2012

The St. Anthony Riverwalk Wyndham Hotel
Hotel reservations: (210) 227-4392
Room rate: \$99.00
www.wyndham.com

Hotel room rates for each location are guaranteed until the following dates:

Doubletree Anaheim—Orange County, Anaheim, CA	January 6, 2012
Westin Fort Lauderdale, Fort Lauderdale, FL	February 6, 2012
Hotel du Pont, Wilmington, DE	February 27, 2012
Hilton Springfield, Springfield, IL	March 3, 2012
The Westin Peachtree Plaza, Atlanta, GA	April 13, 2012
Wyndham Cleveland at PlayhouseSquare, Cleveland, OH	April 15, 2012
Embassy Suites Omaha, Omaha, NE	June 22, 2012
Doubletree Suites Boston, Boston, MA	August 20, 2012
St. Anthony Riverwalk Wyndham, San Antonio, TX	September 21, 2012

Hotel rates for this program have been discounted. To make your reservations on a space-available basis, please contact the hotel directly. Some hotels may honor the rate before and after the workshop. When making reservations, please mention the function name: OCC Director Workshops. If you encounter difficulties in making your reservations, please call (240) 485-1700 for assistance.

Registration Fee

The registration fee is \$99, payable at the time of registration. The price includes:

- Course materials
- CD of the OCC's popular telephone seminar on corporate governance
- *Director's Toolkit*, which includes *The Director's Book*, *Detecting Red Flags in Board Reports*, and "Internal Controls"
- Lunch

Special Needs

If you require special accommodations to participate in this workshop, please call (202) 874-6415.

Cancellation Policy

All cancellations must be in writing. Cancellations will be accepted by e-mail to occ@seiservices.com, or fax notification to (240) 485-1982. If a cancellation is received less than one month before the workshop, no refund will be issued.

Questions

For additional workshop information, please call (240) 485-1700 or send an e-mail to occ@seiservices.com.