

2013 OCC Community Bank Director Workshops

Mastering the Basics

A Director's Challenge

2013 Workshop Locations

Little Rock, AR

February 11–13

Louisville, KY

March 4–6

Lisle, IL

April 8–10

Seattle, WA

April 29–May 1

Syracuse, NY

May 6–8

Dallas, TX

June 3–5

Tulsa, OK

July 8–10

Columbia, SC

September 16–18

Duluth, MN

October 7–9

**REGISTER
TODAY!**





OCC Community Bank Director Workshops

Mastering the Basics A Director's Challenge

The success and even some failures of financial institutions can often be attributed to the effectiveness of their directors. A broad knowledge of the financial services industry and the confidence to ask questions of management, auditors, and examiners best enable directors to fulfill their duties and responsibilities successfully.

This workshop combines lectures, discussions, and exercises designed specifically for directors of national community banks and federal savings associations. Discussion topics include

- OCC structure and communication channels for directors
- The roles of the board and management
- Board committees and best practices
- Significant board activities, including compensation and succession planning
- CAMELS, specialty ratings, and composite ratings
- Report of Examination—your blueprint for action
- Managing risk with board reports

Communicate With the Regulators

You will interact with instructors who are experienced examiners from the OCC. They are seasoned professionals who regularly assess risk management systems and meet with senior managers and directors to discuss their findings and evaluations. They will share their experiences to help you improve your knowledge and skills. Enrollment is limited to 35 directors per workshop to allow open and targeted dialogue. Pre-course reading materials will be provided to enhance your workshop experience.

Who Should Attend

This workshop is geared primarily to outside directors of national community banks and federal savings associations that have assets of less than \$5 billion. Management directors could also benefit from attendance.

“In this economic environment, it's especially important to understand regulatory expectations for risk management. This workshop equips directors with the skills and knowledge they need to help steer financial institutions through challenging times.”

Jennifer Kelly

Senior Deputy Comptroller
for Midsize and
Community Bank Supervision

Meet the Instructors



Dave Baker
Assistant Deputy Comptroller
Western District



Michael Buting
Bank Examiner, FTR
Western District



Ralph DeLeon
National Bank Examiner
Banking Relations Division



Mark Diller
National Bank Examiner
Central District



Haydee Gilliam
National Bank Examiner
Southern District



Ben Lemanski
Assistant Deputy Comptroller
Central District

Agenda

Attire is business casual.

Workshop

Monday

- 12:00 p.m. Registration opens
 - 1:00 p.m. Introduction and program overview
 - Understanding the Regulatory Structure
 - Differentiating Board and Management Roles:
 - Understanding Board Responsibilities
 - Industry hot topics
 - 5:15 p.m. Adjourn
-

Tuesday

- 7:00 a.m. Registration opens
 - 8:00 a.m. Activities of Effective Boards Operating in a Regulated Environment
 - 12:00 p.m. Lunch
 - 1:00 p.m. Overseeing the Audit Function
 - Deciphering CAMELS and the Report of Examination
 - 5:00 p.m. Adjourn
-

Wednesday

- 7:00 a.m. Registration opens
- 8:00 a.m. Working With Examiners
 - Using the Uniform Bank Performance Report
 - Managing Board Information
- 12:00 p.m. Adjourn

“This workshop should be required for all new directors. I have been a director for 11 years, and even with all these years of experience I learned a lot of valuable information.”

Reaction from past participant



Lori McNulty
National Bank Examiner
Central District



Polly Robinson
National Bank Examiner
Southern District



Randy Ryskamp
District Counsel
Southern District



Curt Schuman
Assistant Deputy Comptroller
Central District



Kent Stone
Assistant Deputy Comptroller
Northeastern District



Thomas Tott
Assistant Deputy Comptroller
Central District

Part 1

- February 11–13, 2013
Little Rock, AR
- March 4–6, 2013
Louisville, KY
- April 8–10, 2013
Lisle, IL
- April 29–May 1, 2013
Seattle, WA
- May 6–8, 2013
Syracuse, NY
- June 3–5, 2013
Dallas, TX
- July 8–10, 2013
Tulsa, OK
- September 16–18, 2013
Columbia, SC
- October 7–9, 2013
Duluth, MN

Please register online at www.seiservices.com/occ, fax registrations to (240) 485-1982, or mail to SEI Services, Attn: OCC 2013, 8757 Georgia Ave., Suite 1440, Silver Spring, MD 20910.

- You must be on the board of directors of a national community bank or federal savings association.
- Registrations will be accepted on a space-available basis only. A wait list will be established for all sessions that reach maximum capacity.
- Registration fee for the workshop is \$99 per participant.
- All cancellations must be in writing. Cancellations will be accepted by e-mail at occ@seiservices.com or by fax to (240) 485-1982. If a cancellation is received less than one month before the workshop, no refund will be issued.
- Completion of this form in its entirety is required for conference registration.

Institution Information

Institution Name: _____

Asset Size \$ (Millions) < 50 50-99 100-149
 150-249 250-499 500-749
 750-1B >1B

Institution Address: _____
Street

City State Zip Code

Telephone: _____

Point of Contact: _____

Payment Information

Billing Name: _____

Billing Address: _____
Street

City State Zip Code

Credit Card Type: Amex Visa MC Expiration Date: _____

Credit Card Number: _____

Security Code: _____

Signature of Cardholder: _____

If other payment arrangements are needed, please contact the reservation center at (240) 485-1700 or occ@seiservices.com.

Part 2

Attendee Information

Name: Mr./Ms./Mrs./Dr. _____
(Please circle one) First Name Last Name

Name on Badge: _____
First Name Last Name

Title: _____ Director: Inside Outside Other

Home Address: _____
Street

City State Zip Code

Daytime Telephone: _____ Fax: _____

Attendee E-mail: _____

Assistant's E-mail: _____

Emergency Contact

Emergency Contact Name: _____
(friend or family)

Emergency Contact Phone Number (Home or Cell): _____
(do not use the bank phone number)

Comments

If you have any comments or information concerning your registration that you wish to add, please do so below.

For workshop information, please contact (240) 485-1700. Registration center hours: 8:30 a.m.–5:30 p.m. Eastern time.



Dates and Locations

Little Rock, AR—February 11–13, 2013

DoubleTree
Hotel reservations: (501) 372-4371
Room rate: \$129.00
www.littlerock.doubletree.com

Louisville, KY—March 4–6, 2013

The Brown Hotel
Hotel reservations: (502) 583-1234
Room rate: \$159.00
www.brownhotel.com

Lisle, IL—April 8–10, 2013

Wyndham Lisle–Chicago Hotel
Hotel reservations: (630) 505-1000
Room rate: \$110.00
www.wyndham.com/lisle

Seattle, WA—April 29–May 1, 2013

Hilton Seattle Airport & Conference Center
Hotel reservations: (206) 244-4800
Room rate: \$149.00
www.hilton.com

Syracuse, NY—May 6–8, 2013

Sheraton Syracuse University Hotel
Hotel reservations: (315) 475-3000
Room rate: \$139.00
www.sheraton.com/syracuse

Dallas, TX—June 3–5, 2013

Wyndham Dallas Park Central Hotel
Hotel reservations: (972) 233-7600
Room rate: \$113.00
www.wyndham.com/parkcentral

Tulsa, OK—July 8–10, 2013

Embassy Suites Tulsa–I-44
Hotel reservations: (918) 622-4000
Room rate: \$109.00
www.embassysuites.com

Columbia, SC—September 16–18, 2013

Columbia Marriott Hotel
Hotel reservations: (803) 771-7000
Room rate: \$139.00
www.marriottcolumbia.com

Duluth, MN—October 7–9, 2013

Holiday Inn & Suites Duluth Downtown
Hotel reservations: (218) 722-1202
Room rate: \$99.00
www.hiduluth.com

Hotel room rates for each location are guaranteed until the following dates:

DoubleTree, Little Rock, AR	January 11, 2013
The Brown Hotel, Louisville, KY	February 2, 2013
Wyndham Lisle–Chicago Hotel, Lisle, IL	March 8, 2013
Hilton Seattle Airport & Conference Center, Seattle, WA	April 7, 2013
Sheraton Syracuse University Hotel, Syracuse, NY	April 5, 2013
Wyndham Dallas Park Central Hotel, Dallas, TX	May 17, 2013
Embassy Suites Tulsa–I-44, Tulsa, OK	June 23, 2013
Columbia Marriott Hotel, Columbia, SC	August 15, 2013
Holiday Inn & Suites Duluth Downtown, Duluth, MN	September 6, 2013

Hotel rates for this program have been discounted. To make your reservations on a space-available basis, please contact the hotel directly. Some hotels may honor the rate before and after the workshop. When making reservations, please mention the function name: OCC Director Workshops. If you encounter difficulties in making your reservations, please call (240) 485-1700 for assistance.

Registration Fee

The registration fee is \$99, payable at the time of registration. The price includes

- Course materials
- The Director's Toolkit, which includes *The Director's Book*, "Detecting Red Flags in Board Reports," and "Internal Controls"
- Lunch

Special Needs

If you require special accommodations to participate in this workshop, please call (202) 649-6733.

Cancellation Policy

All cancellations must be in writing. Cancellations will be accepted by e-mail to occ@seiservices.com or by fax to (240) 485-1982. If a cancellation is received less than one month before the workshop, no refund will be issued.

Questions

For additional workshop information, please call (240) 485-1700 or send an e-mail to occ@seiservices.com.